

Attorney Docket No.: 40-003600US Client Reference No.: 01-003600US

DECLARATION

As a below named inventor, I declare that:

My residence, post office address and citizenship are as stated below next to my name; I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural inventors are named below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **METHODS, DEVICES AND COMPOSITIONS FOR DEPOSITING AND ORIENTING NANOSTRUCTURES** the specification of which ___ is attached hereto or __X was filed on September 25, 2003 as Application No. 10/673,092.

I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56. I claim foreign priority benefits under Title 35, United States Code, Section 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s)

Country	Application No.	Date of Filing	Priority Claimed Under 35 USC 119

I hereby claim the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below:

Application No.	Filing Date
Application 140.	Timig Date

I claim the benefit under Title 35, United States Code, Section 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, Section 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, Section 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

Application No.	Date of Filing	Status

Attorney Docket No.: 40-003600US Client Reference No.: 01-003600US

Full Name of	Full Name:					
Inventor 1:	Robert Dubrow					
Residence &	City:	State/Foreign Country:	Country of Citizenship:			
Citizenship:	San Carlos	California	US			
Post Office	Post Office Address:					
Address:	766 Orange Avenue, San Carlos, CA 94070					
Full Name of	Full Name:					
Inventor 2:	Linda T. Romano					
Residence &	City:	State/Foreign Country:	Country of Citizenship:			
Citizenship:	Sunnyvale	California	US			
Post Office	Post Office Address:					
Address:	1055 Westchester Drive, Sunnyvale, CA 94087					
Full Name of	Full Name:					
Inventor 3:	David Stumbo					
Residence &	City:	State/Foreign Country:	Country of Citizenship:			
Citizenship:	Belmont	California	US			
Post Office	Post Office Address:					
Address:	1561 6th Avenue, Belmont, CA 94002					

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Signature of Inventor 1	Signature of Inventor 2	Signature of Inventor 3
My 1. Rec	Londa T. Roman	DI A
Robert Dubrow	Linda T. Romano	David Stumbo,
Date 1/19/04	Date 1-19-04	Date 1/19/04

declaration.doc

PTO/SB/81 (09-03)
Approved for use through 11/30/2005. OMB 0651-0035
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POWER OF ATTORNEY and **CORRESPONDENCE ADDRESS INDICATION FORM**

Application Number	10/673,092		
Filing Date	September 25, 2003		
First Named Inv ntor	Robert Dubrow Methods, Devices And Compositions For		
Titl			
Art Unit	Unassigned		
Examiner Name	Unassigned		
Attorney Docket Number	40-003600US		

		Attorn	ey Docket Num	nber		40-003600US	
I hereby appoint:							
X Practitioners association							
X Practitioner(s) name	Y Practitioner(s) named below:			:			
	Name	Registration Number			umber		
Matthew B. Murphy	у		39,787				
				"			
as my/our attorney(s) or ag	ent(s) to prosecute the application id	entified a	above, and to tra	ansact a	II business ir	the United States Patent and	
Trademark Office connecte							
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OR			-	·····			
The address ass	ociated with Customer Number:						
OR	\						
X Firm or Individual Nam	Quine Intellectual Property	Law G	roup, P.C.				
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Address							
City	Alameda		State	CA		Zip 94501	
Country	United States		1 7	I			
Telephone	(510) 337-7871		Fax	(510)	337-7877		
Applicant/Inventor X Assignee of recor		74					
Statement under	d of the entire interest. See 37 CFR 3 37 CFR 3.73(b) is enclosed. (Form P	7.7 1. TO/SB/9	16)				
	SIGNATURE of A	pplican	t or Assignee o	f Reco	d		
Name Matthe	y Maggary /						
Date 1/19	the My al			Ιτ	lephone /	<u> </u>	
	04				<u> </u>	50-331-2109	
NOTE: Signatures of all the inv forms if more than one signatu	rentors or assignees of record of the entire re is required, see below*.	interest	or their representa	tive(s) ar	e required. Sub	эти тишре	
*Total of	forms are submitted.						
CERTIFICATE OF MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient							

postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or Printed Nam	Juliana	Hermes		
Signatur	Juliana	Gleims	Date	January 28 2004



	1 2 2004 N		Approve	PTO/SB/96 (2-98) ed for use through 09/30/02000. OMB 0651-0031				
TAT A TH	ADEMARY CENT	STATEMENT U	NDER 37 CFR 3.73					
	licant: R berl	Dubr w, et al.						
App	lication No.: 10/	673,092	Filed:	September 25, 2003				
Enti	Entitled: METHODS, DEVICES AND COMPOSITIONS FOR DEPOSITING AND ORIENTING NANOSTRUCTURES							
NAI	NOSYS, Inc.	, a	•	poration rhership, university, government agency, etc.)				
etatoe t	that it is:	(Type of Assignee, e.g., corporation, par	шегын, шичегыу, дочеттеп адансу, вс)				
_	_							
1. <u>X</u>	the assignee	of the entire right, t	itle, and interest; or					
2	i an assignee o	of an undivided par	t interest					
in the p	atent application id	lentified above by	virtue of either:					
A. [X]	assignment was r	ecorded in the Pate	of the patent application ent and Trademark Offic	identified above. The ce at Reel, Frame, or for				
OR	which a copy ther	eor is attached.						
B. []	A chain of title fro current assignee		of the patent application	identified above, to the				
1.	From:	es recorded in the F	To: Patent and Trademark C	Office at				
			hich a copy thereof is a					
2.	From:		To:					
			Patent and Trademark C hich a copy thereof is a					
3.	From:		To:					
			Patent and Trademark C hich a copy thereof is a					
[]	Additional docum	ents in the chain of	title are listed on a sup	plemental sheet				
[X] Co	pies of assignment	s or other docume	nts in the chain of title a	re attached.				
The un		title is supplied bel	ow) is empowered to sig	gn this statement on behalf of				
	1-19-0 Date	4	Mett	hlyll				
	Date		Signa	ture				
			<i>Matthew</i> Typed or pri	Murphy inted name				
			VP Intalle	etual Prop				
			Tit	ie				

Attorney Docket No.: 40-003600US Client Reference No.: 01-003600US

ASSIGNMENT OF PATENT APPLICATION

JOINT

WHEREAS, Robert Dubrow, of 766 Orange Avenue San Carlos, CA 94070, Linda T. Romano of 1055 Westchester Drive, Sunnyvale, CA 94087, and David Stumbo of 1561 6th Avenue, Belmont, CA 94002 hereinafter referred to as "Assignors," are the inventors of the invention described and set forth in the below-identified application for United States Letters Patent:

Title of invention:	METHODS, DEVICES AND	
	COMPOSITIONS FOR DEPOSITING	
	AND ORIENTING NANOSTRUCTURES	
Filing Date:	September 25, 2003	·
	•	
Application No.:	10/673,092	; and

DEVICES

ANTO

WHEREAS, NANOSYS, Inc., a Delaware corporation, located at 2625 Hanover Street, Palo Alto CA 94304, hereinafter referred to as "ASSIGNEE," is desirous of acquiring an interest in the invention and application and in any U.S. Letters Patent and Registrations which may be granted on the same;

For good and valuable consideration, receipt of which is hereby acknowledged by Assignors, Assignors have assigned, and by these presents do assign to Assignee all right, title and interest in and to the invention and application and to all foreign counterparts (including patent, utility model and industrial designs), and in and to any Letters Patent and Registrations which may hereafter be granted on any patent application claiming priority from the same in the United States and all countries throughout the world, and which claim the priority from the application as provided by the Paris Convention. The right, title and interest is to be held and enjoyed by Assignee and Assignee's successors and assigns as fully and exclusively as it would have been held and enjoyed by Assignors had this Assignment not been made, for the full term of any Letters Patent and Registrations which may be granted thereon, or of any division, renewal, continuation in whole or in part, substitution, conversion, reissue, prolongation or extension thereof.

Assignors further agree that they will, without charge to Assignee, but at Assignee's expense, (a) cooperate with Assignee in the prosecution of U.S. Patent applications and foreign counterparts on the invention and any improvements, (b) execute, verify, acknowledge and deliver all such further papers, including applications and instruments of transfer, and (c) perform such other acts as Assignee lawfully may request to obtain or maintain Letters Patent and Registrations for the invention and improvements in any and all countries, and to vest title thereto in Assignee, or Assignee's successors and assigns.

NOT FOR RECORDATION

Assignment

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IN TESTIMONY WHEREOF, Assignors have signed his/her name on the date indicated.

Dated: 1-19-09

Robert Dubrow

Dated: 1-19-04 Linda T. Romano

NOT FOR RECORDATION